

FORM PTO-1390

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371**

ATTORNEY DOCKET NUMBER

IN-5561

U.S. APPLICATION NO. (UNKNOWN) SEE 37 RR 15)

10/069814

INTERNATIONAL APPLICATION NO.

INTERNATIONAL FILING DATE

PRIORITY DATE CLAIMED

PCT/EP00/08211

23 August 2000 (23.08.2000)

07. September 1999 (07.09.1999)

TITLE OF INVENTION: **MIXED SYSTEM FOR PRODUCING WATER-DILUTABLE COATING AGENTS**APPLICATION(S) FOR DO/EO/US: **Bernhard LETTMANN, Bernd MAYER, Michael GRABBE and Norbert WÜNNEMANN**

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

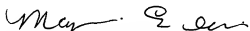
1. ☒ This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371 (b) and PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☒ have been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☒ A translation of the International Application into English (35 U.S.C. 371(C)(2)).
7. ☒ Amendment to the claims of the International Application under PCT Article 19 (35 U.S.C.371(c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annex to the International Preliminary Examination Report under PCT Article 36

It is ms 11. to 16. below concern other document(s) or information included:

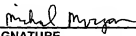
11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included
13. ☒ A FIRST preliminary amendment.
☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A Change of power of attorney and/or address letter.
16. ☒ Other items or information:

A copy of the cover sheet from the PCT Published Application

A copy of the cover sheet from the Priority Document

I hereby certify that the attached correspondence is being deposited with the United States Postal Service in an envelope as "Express Mail Post Office to Addressee" Mailing Label No. ET973 679 291US addressed to the Commissioner for Patents, Washington, DC 20231 on February 27, 2002.

Marjorie Ellis

U.S. APPLICATION NO. 10/069814 INTERNATIONAL APPLICATION NO. PCT/EP00/08211	ATTORNEY'S DOCKET NUMBER IN-5561	CALCULATIONS PTO USE ONLY																									
17. <input checked="" type="checkbox"/> The following fees are submitted Basic National Fee (37 CFR 1.492(a)(1)-(5)): Neither international preliminary examination fee (37 CFR 1.482) Nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO..... \$970.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO..... \$890.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.44(a)(2)) paid to USPTO..... \$690.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4)..... \$670.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) \$ 96.00																											
ENTER APPROPRIATE BASIC FEE AMOUNT = \$890.00																											
Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492(e)). <input type="checkbox"/> 20 <input type="checkbox"/> 30																											
<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 20%;">Claims</th> <th style="width: 20%;">Number Filed</th> <th style="width: 20%;">Number Extra</th> <th style="width: 20%;">Rate</th> <th style="width: 20%;"></th> </tr> <tr> <td>Total Claims</td> <td>12 - 20 =</td> <td>0</td> <td>X \$ 18.00</td> <td>\$</td> </tr> <tr> <td>Independent claims</td> <td>01 - 03 =</td> <td>0</td> <td>X \$ 84.00</td> <td>\$</td> </tr> <tr> <td colspan="3">Multiple dependent claims(s) (if applicable)</td> <td>+ \$260.00</td> <td>\$</td> </tr> <tr> <td colspan="4" style="text-align: right;">TOTAL OF ABOVE CALCULATION =</td> <td>\$890.00</td> </tr> </table>		Claims	Number Filed	Number Extra	Rate		Total Claims	12 - 20 =	0	X \$ 18.00	\$	Independent claims	01 - 03 =	0	X \$ 84.00	\$	Multiple dependent claims(s) (if applicable)			+ \$260.00	\$	TOTAL OF ABOVE CALCULATION =				\$890.00	
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Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28).																											
SUBTOTAL = \$890.00																											
Processing fee of \$130.00 for furnishing the English translation later the months from the earliest claimed priority date (37 CFR 1.492(f)). <input type="checkbox"/> 20 <input type="checkbox"/> 30																											
TOTAL NATIONAL FEE = \$890.00																											
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +																											
TOTAL FEES ENCLOSED = \$930.00																											
		Amount to be: \$ refunded Charged \$930.00																									
a. <input type="checkbox"/> A check in the amount of \$_____ to cover the above fees is enclosed. b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. 23-3425 in the amount of \$930.00 to cover the above fees A triplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 23-3425 . A triplicate copy of this sheet is enclosed.																											
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.																											
SEND ALL CORRESPONDENCE TO: BASF CORPORATION Patent Department 26701 Telegraph Road Southfi Id, Michigan 48034-2442 (248) 948-2355 Customer No. 26922		<div style="text-align: center;">  SIGNATURE </div> <div style="text-align: center;"> Michael F. Morgan Name 42,906 REGISTRATION NUMBER </div>																									

PATENT

(Practitioner's Docket No. IN-5561)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Bernhard LETTMANN et al.

Serial No.: This application is a National
Phase of Patent Application
PCT/EP00/08211 filed 23. August 2000.

Group Art Unit: Not Assigned

Filed: Wednesday, February 27, 2002

Examiner: Not Assigned

For: MIXED SYSTEM FOR PRODUCING WATER-DILUTABLE COATING
AGENTS

Commissioner of Patents
Washington, D.C. 20231

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"Express Mail" label number **ET973 679 291US**

Date of Deposit

February 27, 2002

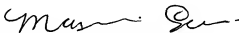
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☒ **German Application PCT/EP00/08211**
Specification on pages; claims on pages;
the abstract on page(s); drawings on page(s).

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Washington, D.C. 20231EXPRESS MAIL CERTIFICATE"Express Mail" label number ET973 679 291US

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<input checked="" type="checkbox"/> English Application PCT/EP00/08211			
Specification on <table border="1"><tr><td>42</td></tr></table> pages;	42	claims on <table border="1"><tr><td>04</td></tr></table> pages;	04
42			
04			
the abstract on <table border="1"><tr><td>01</td></tr></table> page(s);	01	drawings on <table border="1"><tr><td></td></tr></table> page(s).	
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JAN 27 2002

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☒ **Cover page of Priority Document**☐ **01 Page(s)**

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